

TEXAS RACING COMMISSION

**ANNUAL REPORT OF
NONFINANCIAL DATA**

For The Year Ended August 31, 2015

**Chuck Trout
EXECUTIVE DIRECTOR**

Texas Racing Commission
Annual Report of Nonfinancial Data
(Unaudited)

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TEXAS RACING COMMISSION
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December 30, 2015

Honorable Greg Abbott, Governor
Ursula Parks, Director, Legislative Budget Board
John Keel, CPA, State Auditor

Dear Lady and Gentlemen:

We are pleased to submit the Annual Report of Nonfinancial Data of the Texas Racing Commission for the year ended August 31, 2015, in compliance with TEX. GOVT. CODE ANN. Sec. 2101.0115 and in accordance with the instructions for completing the Annual Report of Nonfinancial Data.

The accompanying report has not been audited and is considered to be independent of the agency's Annual Financial Report.

If you have any questions, please contact John Perryman at 512-490-4025.

Sincerely,

A handwritten signature in blue ink, appearing to read "C. Trout", written over a faint circular stamp.

Chuck Trout
Executive Director

UNAUDITED

TEXAS RACING COMMISSION (476)

SCHEDULE 1
APPROPRIATION ITEM TRANSFERS
For the Fiscal Year Ended August 31, 2015

ITEMS OF APPROPRIATION

	<u>Transfers In</u>	<u>Transfers Out</u>	<u>Net Transfers</u>
A. Goal: Enforce Racing Regulation			
Strategies:			
A.1.1. 13001 Regulate Racetrack Owners	\$ 11,000.00	\$ (51,141.83)	\$ (40,141.83)
A.2.1. 13003 Texas Bred Incentive	0.00	0.00	0.00
A.3.1. 13008 Supervise Racing Conduct	71,005.10	(1,000.00)	70,005.10
A.3.2. 13010 Monitor Licensee Activities	0.00	(14,442.86)	(14,442.86)
A.4.1. 13009 Inspect & Provide Care	36,595.15	(5,000.00)	31,595.15
A.4.2. 13011 Administer Drug Tests	<u>31,351.95</u>	<u>0.00</u>	<u>31,351.95</u>
 Total Goal A	 <u>\$ 149,952.20</u>	 <u>\$ (71,584.69)</u>	 <u>\$ 78,367.51</u>
 B. Goal: Regulate Participation			
Strategies:			
B.1.1. 13004 Occupational Licensing	\$ 0.00	\$ (31,120.08)	\$ (31,120.08)
B.1.2. 13012 Texas Online	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
 Total Goal B	 <u>\$ 0.00</u>	 <u>\$ (31,120.08)</u>	 <u>\$ (31,120.08)</u>
 C. Goal: Regulate Pari-Mutuel Wagering			
Strategies:			
C.1.1. 13002 Monitor Wagering	\$ 35,595.15	\$ (36,443.62)	\$ (848.47)
C.1.2. 13013 Wagering Compliance	<u>0.00</u>	<u>(45,413.77)</u>	<u>(45,413.77)</u>
 Total Goal C	 <u>\$ 35,595.15</u>	 <u>\$ (81,857.39)</u>	 <u>\$ (46,262.24)</u>
 D. Goal: Indirect Administration			
Strategies:			
D.1.1. 13800 Central Administration	\$ 0.00	\$ (12,081.90)	\$ (12,081.90)
D.1.2. 13801 Information Resources	<u>11,096.71</u>	<u>0.00</u>	<u>11,096.71</u>
 Total Goal D	 <u>\$ 11,096.71</u>	 <u>\$ (12,081.90)</u>	 <u>\$ (985.19)</u>
 Net Appropriation Item Transfers	 <u><u>\$ 196,644.06</u></u>	 <u><u>\$ (196,644.06)</u></u>	 <u><u>\$ 0.00</u></u>

There were no transfers other than benefit replacement pay, salary increase, budget reduction, or rider transfers. This reflects only current appropriation year transfers.

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**SCHEDULE 2
HUB STRATEGIC PLAN PROGRESS REPORT
For the Fiscal Year Ended August 31, 2015**

(Source: Texas Government Code, Title 10, Subtitle D, Section 2161.124)

<u>Goal = Strategic Plan HUB Goal</u> <u>Actual = % Spent with HUBS from HUB Report</u>	<u>ACTUAL FOR</u> <u>FY '14</u>	<u>ACTUAL FOR</u> <u>FY '15</u>	<u>GOAL FOR</u> <u>FY '16</u>
Heavy Construction other than building contracts	n/a	n/a	n/a
Building Construction, including general contractors and operative builders contracts	n/a	n/a	n/a
Special Trade construction contracts	n/a	n/a	n/a
Professional Service Contracts	100.0%	100.0%	23.7%
Other Services Contracts	26.2%	10.3%	26.0%
Commodities Contracts	70.4%	59.7%	21.1%

Prepared By: 
Printed Name: John Perryman
Phone Number: (512) 490-4025

Approved: 
Printed Name: Chuck Trout

TEXAS RACING COMMISSION (476)

**SCHEDULE 3
INDIRECT COSTS**

For the Fiscal Year Ended August 31, 2015

a.	Payroll Related Costs (excludes amounts paid from appropriated funds)		
	FICA Employer Matching Contribution	\$ 220,418.78	
	Group Health Insurance	551,408.52	
	Retirement	178,254.80	
	Unemployment Compensation	0.00	
	Total Payroll Related Costs		950,082.10
	Benefit Replacement Pay (BRP)	9,220.68	
	Total BRP and Workers' Compensation		9,220.68
b.	Indirect Costs		
	Bond Debt Service Payments	n/a	
	Total Indirect Costs		0.00
c.	Indirect Costs - Statewide Cost Allocation Plan (based on FY15 data) - Full Cost Method		
	Building Depreciation	0.00	
	Equipment Depreciation	0.00	
	CPA Administration	0.00	
	CPA Fiscal and ITD	11,756.00	
	CPA Purchasing & Support (TPASS)	11,265.00	
	CPA Rebates	(773.00)	
	DIR	0.00	
	DIR Rollforward Adjustment	0.00	
	DPS Capitol Security	0.00	
	TFC Planning & Asset Mgmt	(501.00)	
	TFC Fac Construction	0.00	
	Governor Budget & Planning	167.00	
	State Senate	0.00	
	Hse of Representatives	0.00	
	Legislative Council	0.00	
	Legislative Budget	0.00	
	Reference Library	0.00	
	Sunset Advisory Brd	0.00	
	Total Indirect Costs - Statewide Cost Allocation Plan		21,914.00
	TOTAL INDIRECT COSTS		\$ 981,216.78

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**SCHEDULE 4
PROFESSIONAL/CONSULTING FEES AND LEGAL SERVICE FEES
For the Fiscal Year Ended August 31, 2015**

<u>NAME</u>	<u>CITY</u>	<u>SERVICE RENDERED</u>	<u>AMOUNT</u>
<u>PROFESSIONAL/CONSULTING FEES</u>			
Tx Dpt of Information Resources	Austin	Computer Svcs - Statewide Tech	4,101.77
MicroAssist	Austin	Educational/Training Svcs	5,730.00
Solid Border	San Antonio	Educational/Training Svcs	4,050.00
Diane W. Fulmer, CPA	Round Rock	Financial & Accounting	7,028.01
Monday N. Rufus, PC	Austin	Financial & Accounting	9,830.00
CDW	Vernon Hill, IL	Information Technology Svcs	5,000.00
Insight Global LLC	Atlanta, GA	Information Technology Svcs	8,282.00
Insight Public Sector Inc	Dallas	Information Technology Svcs	6,487.50
Oracle	Redwood City, CA	Information Technology Svcs	3,000.00
Petrosys Solutions Inc	Bellaire	Information Technology Svcs	5,750.00
RFD & Associates, Inc	San Antonio	Information Technology Svcs	7,149.99
SHI Government Solutions Inc	Dallas	Information Technology Svcs	989.00
The Evolvers Group	Flower Mound	Information Technology Svcs	11,900.00
Compliance Consortium Corporation	Belton	Other Professional Services	569.85
Gaming Laboratories Intl LLC	Lakewood, NJ	Other Professional Services	24,970.00
Ingram Tech	Austin	Other Professional Services	880.00
K-16 Education Center UT	Austin	Other Professional Services	130.00
TX Veterinary Medical Diagnostic Lab	Austin	Other Professional Services	4,252.00
Robert P Stovall	Rio Hondo	Race Track Officials	15,979.15
Candace McCall	Rockwall	Veterinary Services	16,546.66
Horace A. Smith	Brenham	Veterinary Services	21,012.68
Katherine Joos	Whitesboro	Veterinary Services	17,500.00
Matt Moskosky DVM	Buda	Veterinary Services	1,540.00
Richard Castleberry	Castroville	Veterinary Services	2,543.00
Robert R Kellogg	Harlingen	Veterinary Services	1,005.80
Ronald Guy Lee	Windcrest	Veterinary Services	5,600.00
		Total Professional Fees	\$ <u>191,827.41</u>
<u>LEGAL SERVICE FEES</u>			\$ <u>0.00</u>
		Total Professional and Legal Fees	\$ <u><u>191,827.41</u></u>

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**SCHEDULE 5
SPACE OCCUPIED
For the Fiscal Year Ended August 31, 2015**

<u>LOCATION</u>	<u>SQ. FT.</u>	<u>FTEs</u>	<u>COST PER SQ. FT.</u>	<u>COST PER MONTH</u>	<u>ANNUAL COST</u>
Headquarters: 8505 Cross Park Dr. Ste 110 Austin, Texas					
Period 9/1/14-1/31/15	6,419	27	\$1.11	\$7,144.80 5 mo	\$35,724.00
Period 2/1/15-8/31/15			\$1.12	\$7,161.12 7 mo	\$50,127.84
					<u>\$85,851.84</u>

Lessor:
Gap VII Ind-A Cross LP
PO Box 2572
Sherman, TX

The Commission is in compliance with Section 2165.104, Government Code which requires a ratio of not more than 153 square feet of usable space per employee.

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**SCHEDULE 6
BONDED EMPLOYEES
For the Fiscal Year Ended August 31, 2015**

No bond is required by the State Office of Risk Management.